

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David Knight and
Scott Siegel

Application No.: 10/665,971

Group: 1644

Filed: September 19, 2003

Examiner: Phillip Gambel

Confirmation No.: 5342

For: METHODS OF TREATING NEURODEGENERATIVE DISEASE WITH
ANTI-TNF ANTIBODIES (AS AMENDED)

CERTIFICATE OF MAILING OR TRANSMISSION

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Meredith Murray

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☒ under 37 CFR 1.97(c) together with either:
☐ a Statement under 37 CFR 1.97(e), as checked below, or
☒ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as checked below, and
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application file.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ A copy of the cited reference [AQ7] is enclosed.
- ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [OPTIONAL [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

☒ Applicant requests that the following published pending applications be considered:

Examiner's
Initials

U.S. Patent Application No. 11/053,749, by David M. Knight, Jan T. Vilcek, John Ghrayeb, Junming Le, Peter E. Daddona, Scott A. Siegel, and Bernard Scallon, filed February 7, 2005.

U.S. Patent Application No. 11/195,589, by David M. Knight, Jan T. Vilcek, John Ghrayeb, Junming Le, Peter E. Daddona, and Scott A. Siegel, filed August 2, 2005.

U.S. Patent Application No. 11/010,954, by Junming Le, Jan T. Vilcek, Peter E. Daddona, John Ghrayeb, David M. Knight, Scott A. Siegel, and David J. Shealy, filed December 13, 2004.

U.S. Patent Application No. 11/053,750, by Junming Le, Jan T. Vilcek, Peter E. Daddona, John Ghrayeb, David M. Knight, Scott A. Siegel, and Bernard Scallon, filed February 7, 2005.

U.S. Patent Application No. 11/143,926, by Junming Le, Jan T. Vilcek, Peter E. Daddona, John Ghrayeb, David M. Knight, Scott A. Siegel, filed on June 2, 2005.

U.S. Patent Application No. 11/314,941, by Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Siegel, filed December 20, 2005.

Examiner

Date

☒ Applicant requests that the following non-published pending applications be considered:
(Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl'n.)

Examiner's
Initials

U.S. Patent Application No. 11/582,153, by Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Siegel, filed October 16, 2006.

U.S. Patent Application No. 11/633,650, by Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Siegel, filed December 4, 2006.

U.S. Patent Application No. 11/401,391, by David M. Knight, Jan T. Vilcek, John Ghrayeb, Junming Le, Peter E. Daddona, and Scott A. Siegel, filed April 10, 2006.

U.S. Patent Application No. 11/400,787, by Vilcek, Peter E. Daddona, John Ghrayeb, David M. Knight, Scott A. Siegel, and Junming Le, filed April 7, 2006.

U.S. Patent Application No. 11/501,162, by Junming Le, Jan T. Vilcek, Peter E. Daddona, John Ghrayeb, David M. Knight, Scott A. Siegel, and David J. Shealy, filed on August 8, 2006

Examiner

Date

- ☒ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner. However, copies of the claims for the non-published applications are enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

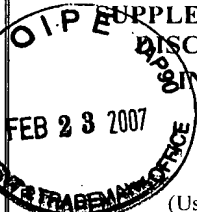
Respectfully submitted,

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Dated: February 15, 2007

PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 0975.1005-036		APPLICATION NO. 10/665,971	
 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION February 13, 2007 (Use several sheets if necessary)		FIRST NAMED INVENTOR Junming Le		FILING DATE September 19, 2003	
		EXAMINER Phillip Gambel		CONFIRMATION NO. 5342	

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AE3	5,656,272	08-12-1997	Junming Le <i>et al.</i>
	AF3	5,698,195	12-16-1997	Junming Le <i>et al.</i>
	AG3	5,919,452	07-06-1999	Junming Le <i>et al.</i>
	AH3	6,277,969	08-21-2001	Junming Le <i>et al.</i>
	AI3	6,284,471	09-04-2001	Junming Le <i>et al.</i>
	AJ3	6,790,444	09-14-2004	Junming Le <i>et al.</i>
	AK3	6,835,823	12-28-2004	Junming Le <i>et al.</i>
	AA4	6,991,791	01-31-2006	Junming Le <i>et al.</i>
	AB4	7,070,775	07-04-2006	Junming Le <i>et al.</i>
	AC4	7,101,674	09-05-2006	Junming Le <i>et al.</i>
	AD4	7,128,907	10-31-2006	Junming Le <i>et al.</i>
	AE4	7,128,908	10-31-2006	Junming Le <i>et al.</i>
	AF4	7,135,178	11-14-2006	Junming Le <i>et al.</i>
	AG4	7,135,179	11-14-2006	Junming Le <i>et al.</i>
	AH4	7,138,118	11-21-2006	Junming Le <i>et al.</i>
	AI4	7,160,542	01-09-2007	Junming Le <i>et al.</i>
	AJ4	7,160,543	01-09-2007	Junming Le <i>et al.</i>
	AK4	7,160,995	01-09-2007	Junming Le <i>et al.</i>
	AA5	7,166,284	01-23-2007	Junming Le <i>et al.</i>
	AB5	7,169,386	01-30-2007	Junming Le <i>et al.</i>

EXAMINER	DATE CONSIDERED
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PTO-1449 REPRODUCED SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION February 13, 2007 (Use several sheets if necessary)	ATTORNEY DOCKET NO. 0975.1005-036		APPLICATION NO. 10/665,971	
	FIRST NAMED INVENTOR Junming Le		FILING DATE September 19, 2003	
	EXAMINER Phillip Gambel	CONFIRMATION NO. 5342	GROUP 1644	

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AC5	7,169,388	01-30-2007	Junming Le <i>et al.</i>

FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO
	AQ7	EP 0387095 A1	09-12-1990	Jonker and Van Der Meide	

EXAMINER	DATE CONSIDERED
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